NOTICE OF PROPOSED CLASS ACTION SETTLEMENT

R. Ross & C. Rogus v. Hewlett Packard Enterprise Company, Santa Clara County Superior Court Case No. 18 CV 337830

Records indicate that you are a woman who was actively employed in California by Hewlett Packard Enterprise Company between November 1, 2015 and November 3, 2022 in one of the job codes set forth on Exhibit A to the Settlement Agreement.

Please read this Class Notice carefully. This Class Notice relates to a proposed settlement of a case brought as a class action. Your legal rights are affected whether or not you act. The full Settlement Agreement can be found at www.EqualPaySettlement.com.

You have received this Class Notice because Hewlett Packard Enterprise Company ("Defendant" or "HPE")'s records show that you are a "Settlement Class Member," and therefore entitled to a payment. Settlement Class Members include all:

Women (as identified in Defendant's Human Resources Information System, Workday) actively employed in California by Defendant at any point from November 1, 2015 through the date of Preliminary Approval, and who were employed in one of the job codes set forth on Exhibit A to the Settlement Agreement.

The Class does not include any individual who: (1) executed a waiver and release; (2) executed an arbitration agreement with respect to their employment upon hire or otherwise; or (3) were a college hire as defined by being hired within two (2) years of internship, age at hire is 23 or below with a Bachelor's degree, age at hire is 27 or below with a Master's degree, or age at hire is 30 or below with a Ph.D. degree.

Based on this Settlement's Plan of Allocation and HPE's records, your estimated individual settlement payment is \$<<estimated_payment>> (minus applicable taxes).

- The settlement resolves a case that was brought as a class-action lawsuit, *R. Ross & C. Rogus v. Hewlett Packard Enterprise Company*, Santa Clara County Superior Court Case No. 18 CV 337830 (the "Action"), in which Plaintiffs Rocio Ross and Claudia Rogus ("Plaintiffs") allege causes of action related to unequal pay practices for: (1) violation of the California Equal Pay Act ("EPA"), Cal. Lab. Code §§ 1197.5, 1194.5 (including violation of the Fair Employment and Housing Act, Gov. Code § 12940(a)); (2) failure to pay all wages due to discharged and quitting employees, Cal. Lab. Code §§ 201-203, 1194.5; (3) unfair business practices, Cal. Bus. & Prof. Code § 17200 ("UCL") (including violation of the Fair Employment and Housing Act)); and (4) declaratory relief, Cal. Civ. Proc. Code § 1060 *et seq.*
- On November 3, 2022, the Santa Clara County Superior Court granted preliminary approval of this Settlement and ordered that all Settlement Class Members be notified of the Settlement. The Court has not made any determination of the validity of the claims in the Action. Defendant vigorously denies the claims in the Action and contends that it fully complied with all applicable laws.

YOUR LEGAL RIGHTS AND OPTIONS IN THIS SETTLEMENT	
DO NOTHING AND RECEIVE PAYMENT	Get a payment and give up your legal rights to pursue claims released by the Settlement of the Action.
OPT OUT OF THE SETTLEMENT	Exclude yourself from the Settlement. In such an instance, you get no payment from the Settlement, and will retain your legal rights to pursue individual claims that would otherwise be released by the Settlement.

OBJECT TO THE SETTLEMENT

If you do not opt out, you may write to the Settlement Administrator, Atticus Administration ("Settlement Administrator"), about why you object to the Settlement and they will forward your concerns to Class Counsel, and your concerns will then be provided to the Court. If the Court approves the Settlement despite your objection, you will still be bound by the Settlement. If you timely object, you or your attorney may also address the Court during the Final Fairness Hearing scheduled for April 27, 2023, at 1:30 p.m., in Dept. 1 of the Downtown Superior Court of Santa Clara Superior Court, located at 191 North First Street, San Jose, CA 95113 (though as explained below, appearances are remote due to COVID-19).

The Final Fairness Hearing on the adequacy, reasonableness, and fairness of the Settlement will be held at 1:30 p.m. on April 27, 2023, in Dept. 1 of the Downtown Superior Court of Santa Clara Superior Court, located at 191 North First Street, San Jose, CA 95113 (however, appearances are remote through Microsoft Teams as explained below). You are not required to attend the Final Fairness Hearing, but you are welcome to do so.

Why Am I Receiving This Notice?

HPE's records indicate that you are a woman who is or was actively employed in California by Hewlett Packard Enterprise Company between November 1, 2015 and November 3, 2022, the date this Settlement was preliminarily approved, in one of the job codes set forth on the attached Exhibit A, and that you were not a recent college hire, and have not entered into a waiver and release or an arbitration agreement with respect to your employment with Hewlett Packard Enterprise Company.

You were sent this Class Notice because you have a right to know about a proposed Settlement of this Action, and about all of your options before the Court decides whether to finally approve the Settlement. If the Court approves the Settlement and then any objections and appeals are resolved, a Settlement Administrator appointed by the Court will make the payments described in this Notice. This Notice explains the Action, the Settlement, your legal rights, what benefits are available, who is eligible for them, and how to get them.

What Is This Case About?

Rocio Ross and Claudia Rogus ("Plaintiffs") were employed by HPE in California in jobs listed on the attached Exhibit A. They are suing on behalf of themselves and Settlement Class Members for Defendant's alleged failure to provide equal pay (1) in violation of the California Equal Pay Act ("EPA"), Cal. Lab. Code §§ 1197.5, 1194.5 (including violation of the Fair Employment and Housing Act, Gov. Code § 12940(a)); (2) failure to pay all wages due to discharged and quitting employees, Cal. Lab. Code §§ 201-203, 1194.5; (3) unfair business practices, Cal. Bus. & Prof. Code § 17200 ("UCL") (including violation of the Fair Employment and Housing Act)); and (4) declaratory relief, Cal. Civ. Proc. Code § 1060 et seq.

HPE denies all of the allegations made by Plaintiffs and denies that it violated any law. The Court has made no ruling on the merits of Plaintiffs' claims. The Court has only preliminarily approved this Settlement. The Court will decide whether to give final approval to the Settlement at the Final Fairness Hearing.

Summary of the Settlement Terms

Plaintiffs and Defendant have agreed to settle this case on behalf of themselves and the Settlement Class Members for the Gross Fund Value of \$8,500,000. The Gross Fund Value includes: (1) Settlement Administration Costs up to \$35,000; (2) a service payment of \$15,000 to each Plaintiff for their time and effort in pursuing this case; (3) a \$5,000 payment to each Plaintiff and in exchange for a broader release of claims against Defendant; (4) up to \$2,833,333.33 in attorneys' fees and actual verified litigation costs to Class Counsel; (5) the Participating Class Members' share of any required payroll taxes; (6) HPE's share of payroll taxes as required by law; and (7) the \$400,000 Settlement Dispute Fund (defined below). A total of approximately \$5,191,666.67 (less Participating Class Members' share of payroll taxes, HPE's portion of employer payroll taxes and Class Counsel's verified litigation costs) will be available for distribution to Participating Class Members ("Net Settlement Amount"). Class Counsel's estimated litigation costs at the time of preliminary approval are approximately \$322,000.00.

Distribution to Participating Settlement Class Members

The Net Settlement Amount will be distributed to Participating Class Members (Settlement Class Members who do not elect to opt out of the Settlement) on a *pro rata* basis based on their proportionate share of wages, bonuses and equity defined by HPE from November 1, 2015 to September 1, 2022 in a job code listed on the attached Exhibit A ("Individual

Settlement Payments") as determined by dates of employment in the relevant job classification codes and other qualifying information agreed upon by the Parties. This amount is called the "Total Compensation."

The Settlement Administrator will be provided with the Total Compensation for each Participating Class Member who worked in a job code listed in the attached Exhibit A during the period of November 1, 2015 to September 1, 2022 as an HPE employee in California. The Settlement Administrator will also be provided with the "Class Total Compensation," which is the wages, bonuses and equity as defined by HPE paid to all Participating Settlement Class Members for work performed during the period of November 1, 2015 to September 1, 2022, within a job code classification attached in Exhibit A, as determined by dates of employment in the relevant job classification codes and other qualifying information agreed upon by the Parties.

To calculate the Individual Settlement Payments, each Participating Settlement Class Member's Total Compensation will be divided by the aggregate Class Total Compensation, and then multiplied by the Net Settlement Amount. Under this formula, each Participating Settlement Class Member will receive their *pro rata* share of the Net Settlement Amount based on their relative compensation during the time period. Defendant's share of taxes and the Participating Settlement Class Members' employee share of payroll taxes and withholdings will be withheld from the Individual Settlement Payment. The entire Individual Settlement Payment will be classified as wages.

In addition, a \$400,000 sum will be set aside as a Settlement Dispute Fund ("Dispute Fund") to address any objections raised by a Participating Settlement Class Member for an enhancement of her Individual Settlement Payment. Participating Settlement Class Members shall submit their requests for a monetary enhancement directly to the Settlement Administrator using the Settlement Administrator's website: www.EqualPaySettlement.com by March 21, 2023. Any request for an enhancement must be accompanied by documentary evidence and include the last four digits of the Participating Settlement Class Member's social security number. The Settlement Administrator will review requests for enhancements and make recommendations to approve or deny to Plaintiffs' Counsel. Any request for enhancement shall be evaluated pursuant to the criteria set forth in Labor Code section 1197.5(a). Counsel for the Parties shall confer and approve any requests. Should approved requests for enhancement exceed the allocation for the Dispute Fund, all approved requests will be reevaluated *pro rata* so as not to exceed the total allocation of the Dispute Fund. Any unused, residual funds of the Dispute Fund will be distributed *pro rata* to Participating Settlement Class Members.

Participating Settlement Class Members will have 120 days from the date on which the Individual Settlement Payment check is issued to cash or negotiate their checks. For any check not cashed, the Settlement Administrator will tally the sum value of all unclaimed checks and distribute the amount *pro rata* to Participating Settlement Class Members.

Defendant's records indicate that your Total Compensation as an HPE employee in one or more of the job codes listed in the attached Exhibit A in California during the period of November 1, 2015 to September 1, 2022 was \$<<<tab/>total_compensation>>. Based on these records, your estimated payment as a Participating Settlement Class Member would be approximately \$<<estimated_award>>. If you believe this information is incorrect and wish to dispute it, or if you believe you are entitled to an enhanced settlement payment due to your individual circumstances, you must submit your dispute to the Settlement Administrator no later than **March 21, 2023**. Please include any documentation you have that you contend supports your dispute.

Tax Reporting

The Individual Settlement Payment will be allocated as wages and reported on an IRS Form W-2. This Notice is not intended to provide legal or tax advice on your Individual Settlement Payment.

Your Options Under the Settlement

Option 1 – Do Nothing and Receive Your Payment

If you do not opt out, you are automatically entitled to your settlement check because you are a Participating Settlement Class Member. If you do not dispute your settlement share calculation and do not opt out of the settlement, you will be bound by the settlement and receive a settlement payment. In other words, if you are a Participating Settlement Class Member, you do not need to take any action to receive the settlement payment set forth above.

Settlement Class Members who do not submit a valid and timely opt out (pursuant to Section 2 below), will be deemed to have fully, finally, and forever released, settled, compromised, relinquished, and discharged the Released Parties of all Released Claims she may have or had upon final approval of this Settlement, entry of judgment, and payment by Defendant to the Settlement Administrator.

Upon entry of the Final Approval Order and Judgment and payment by HPE to the Settlement Administrator, and except as to such rights or claims as may be created by this Agreement, each Participating Settlement Class Member, and each of their respective executors, administrators, representatives, agents, heirs, successors, assigns, trustees, spouses, or guardians, will release each of the Released Parties of and from any and all claims, debts, liabilities, demands, obligations, statutory or civil penalties, wages, other compensation, benefits, liquidated damages, interest, premium pay, guarantees, restitution, disgorgement, preliminary or permanent injunctive relief, declaratory relief, costs, expenses, attorneys' fees, damages, actions or causes of action of whatever kind or nature, whether known or unknown, contingent or accrued, that were or reasonably could have been pled based on the same facts alleged in the Action, including, but not limited to (1) claims under federal, state and local laws for violation of federal, state and local equal pay laws (except for claims under the Federal Equal Pay Act, which shall be released according to the provisions in Section 10.2); (2) unequal pay or pay discrimination claims based on employment by Defendant; (3) unequal pay under the California Fair Pay Act; (4) violation of California's Unfair Competition Law; (5) the Civil Rights Act of 1964 and 1999, as amended; (6) the California Government Code, specifically including, but not limited to, the Fair Employment and Housing Act pursuant to Government Code Section 12900, et seq.; (7) the California Labor Code (including claims for waiting time penalties under Sections 201, 202 and 203 as well as claims under Section 1194.5). The Participating Settlement Class Members agree not to sue or otherwise make a claim against any of the Releasees for any of the released claims in this paragraph. (Settlement Agreement, § 10.1.)

In addition, each Participating Settlement Class Member, by not opting out and further cashing or negotiating their settlement award check, will also be deemed to have opted into the Action for purposes of the federal Equal Pay Act, 29 U.S.C § 206 (d) et seq. ("Federal EPA") and their released claims will include a release under the Federal EPA. The Participating Settlement Class Members who have opted into the Action for the purposes of the Federal EPA agree not to sue or otherwise make a claim against any of the Releasees for the Federal EPA claims released in this paragraph. (Settlement Agreement, § 10.2.)

"Releasees" or "Released Parties" refers to Defendant and its present and former affiliates and all of their present and former officers, directors, owners, partners, employees, agents, servants, registered representatives, attorneys, insurers, successors and assigns, and any other persons acting by through, under or in concert with any of them.

In addition, the named Plaintiffs (Ross and Rogus) will, pursuant to Section 10.3 of the Settlement Agreement, execute a general release including any and all claims arising from their employment relationship with HPE. This general release by the Plaintiffs also includes a waiver of California Civil Code Section 1542, which only applies to the named Plaintiffs.

Option 2 – Opt Out of the Settlement

If you do not wish to participate in the Settlement, you may exclude yourself by submitting a written request to be excluded. Your written request must expressly and clearly indicate that you do not want to participate in the Settlement, and you desire to be excluded from the Settlement. The written request for exclusion must include your name (former names, if any). If you would like to be excluded, you may use the form attached as Exhibit B. Sign, date, and mail your written request for exclusion by U.S. Mail to the address below.

Ross & Rogus v HPE c/o Atticus Administration PO Box 64053 Saint Paul, MN 55164

The written request to be excluded from the Settlement must be postmarked or received by the Settlement Administrator not later than March 21, 2023.

If you exclude yourself from the Settlement then you will get no payment under the Settlement and retain your legal rights to pursue individual claims that would otherwise be released by the Settlement.

Option 3 – Mail an Objection to the Settlement to the Settlement Administrator

If you wish to object to the Settlement, you may mail your written objection to the Settlement Administrator, which should state why you object to the Settlement. Your written objection must provide the case name and number, your full name, address, your reasons why you think the Court should not approve the Settlement, along with any evidence and legal authority, if any, you assert supports your objection, and your signature. Your written objection must be mailed to the Settlement Administrator no later than <u>March 21, 2023</u>. Please note that you cannot both object to the Settlement and exclude yourself. If the Court overrules your objection, you will be bound by the Settlement and will receive your Individual Settlement Payment.

Final Fairness Hearing

You may, if you wish, also appear at the Final Fairness Hearing set for April 27, 2023 at 1:30 p.m., in Dept. 1 of the Downtown Superior Court of Santa Clara Superior Court, located at 191 North First Street, San Jose, CA 95113, and raise or discuss your objections with the Court and the Parties at your own expense. You may also retain an attorney to represent you at the Final Fairness Hearing at your own expense. You do not need to object in writing to appear at, or make a verbal objection at, the Final Fairness Hearing.

All written objections, supporting papers and/or notices of intent to appear at the Final Approval Hearing must (a) clearly identify the case name and number (*R. Ross & C. Rogus v. Hewlett Packard Enterprise Company*, Santa Clara County Superior Court Case No. 18 CV 337830), (b) be submitted to the Court either by mailing the objection to: Clerk of the Court, Superior Court of California, County of Santa Clara, 191 N. 1st Street, San Jose, California 95113, or by filing in person at the same location; (c) also be mailed to the law firms identified below; and (d) be filed or postmarked on or before March 21, 2023.

Hearings before the judge overseeing the case will be conducted remotely. (As of August 15, 2022, the Court's remote platform is Microsoft Teams.) Settlement Class Members who wish to appear should contact class counsel at least three days before the hearing if possible. Instructions for appearing remotely are provided at https://www.scscourt.org/general info/ra teams/video hearings teams.shtml and should be reviewed in advance. Settlement Class Members may appear remotely using the Microsoft Teams link for Department 1 (Afternoon Session) or by calling the toll-free conference call number for Department 1.

Notice of Judgment

Should the Settlement be finally approved by the Court, the Settlement Administrator shall post online the Judgment entered by the Court for a period of one (1) year after its entry for viewing by Settlement Class Members. For more information about how to view the Judgment in the event the Settlement is finally approved, please visit www.EqualPaySettlement.com or contact the Settlement Administrator, the contact information for which appears below.

Additional Information

This Class Notice is only a summary of this case and the Settlement. For a more detailed statement of the matters involved in this case and the Settlement, you call the Settlement Administrator at 1 (888) 212-1151 or Class Counsel:

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You may also refer to the pleadings, the Settlement Agreement, and other papers filed in this case, which may be found (a) online on the Superior Court of California, County of Santa Clara's Electronic Filing and Service Website at www.scscourt.org, or (b) in person at the Office of the Clerk of the Santa Clara Superior Court, located at 191 North First Street, San Jose, CA 95113, between the hours of 8:30 a.m. and 4:00 p.m., Monday through Friday, excluding Court holidays and closures.

Additional information regarding the case is available on the Court's electronic filing and service website at www.scscourt.org.

Due to COVID-19, the Court has enacted health and safety protocols, which include the following:

Do not enter the courthouse if:

- You have tested positive for COVID-19 within the last 10 days AND have not been fever free without feverreducing medications for 24 hours.
- You have been ordered or otherwise required to quarantine.
- O You are experiencing COVID-19 symptoms.
- Individuals entering the courthouse must wear facial coverings over the mouth and nose while in the courthouse. Paper, disposable masks will be provided to persons who do not have one and who request to enter the courthouse. ADA exceptionsmay apply.
- The court has provided employees and judicial officers with public health-compliant facial coverings to use while working in courthouses.
- Additional information regarding the Court's COVID-19 protocols may be found here: https://www.scscourt.org/general info/news media/covid19.shtml

All inquiries by Settlement Class Members regarding this Class Notice and/or the Settlement should be directed to the Settlement Administrator.

PLEASE DO NOT CONTACT THE CLERK OF THE COURT, THE JUDGE, THE DEFENDANT, OR DEFENDANT'S ATTORNEYS WITH INQUIRIES.

Exhibit A - Jobs In Settlement Class

- Account Delivery Manager III, IV
- Account Delivery Manager V
- Account Operations Manager II IV
- Appl Mgmt Svc Del Cons I IV
- Assistant IV
- Bus Development Consultant III V
- Bus Operations Analyst II
- Business Analyst I V
- Business Consulting II V
- Business Operations Manager I
- Business Operations Mgr II, III
- Business Planning Analyst II
- Business Planning Manager I III
- Business Strategy Manager II, III
- C&B Advisor IV, V
- Clerk II, III
- Client Manager III V
- Communications Manager II, III
- Cust Engmt Mgmt Advisor II and III
- Cust Exp Mktg Manager II
- Customer Proj/Prgm Mgr I V
- Customer Solutions Rep V
- Cybersecurity Analysis & Response Professional IV, V
- Cybersecurity Design & Engineering Professional I, IV, V
- Data Engineer II IV
- Dir Client Management II
- Dir Engineering I II
- Dir Finance I, II
- Dir HR Business Partner I
- Dir ITO Svc Delivery I
- Dir Marketing Comm II, III
- Dir PSS GTM Programs I
- Dir PSS Life Cycle Mktg I, II
- Dir Service Segment I, II
- Dir Strategic Development I
- Dir Strategic Procurement I
- Dir, Account Executive II
- Dir. Strategy & Planning I, II
- Director Bus Operations I
- Director Info Technology I III
- Director Sales Operations I, II
- Dist Tech-Research Engr

- Dist Tech-SW Engr (Firmware)
- Dist. Tech. SW Designer
- Dist. Tech. SW Operating Sys
- Editor III (inactive)
- Electrical/Hdwr Engineer V VII
- Engagement Consultant III, IV
- Engineer I, II, V, VI
- Engr Program Mgr I III
- Executive Assistant II, III
- Experience Design Engr I
- Facilities Svcs Specialist III
- Field Technical Spt Cons III, IV
- Field Technical Spt Rep III V
- Financial Analyst II, III
- Global Equity Plan Spec II
- HR Business Partner V
- Indus/Cust Segmt MktgMgr III
- Info Systems Architect III V
- Information Developer I V
- Information Testing I IV
- Insurance/Healthcare Cons II V
- Insurance/Healthcare Rep III, IV
- Interactive/Web Mktg Mgr I III
- Intern Tech 3rd Yr Complete
- IT Business Consultant I, IV, V
- IT Developer/Engineer I V
- IT Ops/Support Analyst IV, V
- ITO Svc Delivery Cons I IV
- ITO Svc Delivery Cons V
- ITO Svc Delivery Rep I V
- L&D Program Manager II, IV, V
- Legal Counsel I, III, V
- Manager Communications II
- Manager Cust Exp Mktg II
- Manager Info Technology I, II
- Manager Tech Publications II
- Manager, Account Delivery I
- Manager, Account Operations Management II
- Manager, Business Planning II
- Manager, Business Strategy I, II
- Manager, CSC- Technical I
- Manager, Finance I, II
- Manager, Product Management II

Exhibit A - Jobs In Settlement Class

- Manager, Sales Operations Management II
- Material & Dist Mgr II
- Mechanical/Hdwr Engineer V
- Media/Ana Relations Mgr I III
- Mgr Business Operations II
- Mgr Consulting I, II
- Mgr Developer/Engineer I, II
- Mgr Engineering II, IV
- Mgr Engr Program II, IV
- Mgr Interactive/Web Mktg II
- Mgr ITO Svc Delivery I, II
- Mgr Marcom Programs II
- Mgr Media/Ana Relations II
- Mgr Process & Capabilities I, II
- Mgr PSS GTM Programs I, II
- Mgr PSS Life Cycle Mktg I, II
- Mgr Research Engr II
- Mgr Strategic Development II
- Mgr Strategic Procurement II
- Mgr SW Engr (Apps) I, II
- Mgr SW Engr Firmware I, II
- Mgr SW Engr QA I, II
- Mgr Systems/Software Engr II, IV
- Mgr, Account Executive II
- Mgr, Client Management I, II
- Mgr, Field Technical Spt I
- Mgr, Practice Principal I, II
- Mgr, Prgm Mgt Office I, II
- Mgr, Service Segment I, II
- Mgr. Application Mgmt I
- Mktg Ana / Ops Mgr II, III
- Operational Procs Mgmt Ana II V
- Partner Marketing Mgr II, III
- Post-Doctoral
- Process & Capabilities Mgr I III
- Procurement Engineer V
- Product Engineer IV

- Product Manager IV, V
- Project Manager I V-Internal
- PSS GTM Programs Mgr I III
- PSS GTM Programs Prof II
- PSS Life Cycle Mktg Mgr I III
- PSS Life Cycle Mktg Prof II
- Qua Project Program Mgr III V
- Research Engr III V
- Sales Compensation Operations Analyst IV, V
- Sales Operations Analyst III V
- Sales Processing Analyst III, IV
- Sales Support Analyst III
- Sales Supt Mgmt Analyst III
- Security Analyst III
- Senior Fin Sys Analyst II
- Senior Financial Analyst I, II
- Senior Treasury Analyst II
- Service Segment Mgr III V
- Software Designer II, V VII
- Specialist Legal Counsel III, IV
- Staffing Advisor IV, V
- Strategic Development Mgr I III
- Strategic Procurement Mgr I III
- Strategist, Specialist Legal Counsel VI
- Supv, ITO Service Delivery II
- Svc Info Developer II IV
- SW Eng Support Specialist IV
- SW Engr Firmware II V
- SW Engr QA II IV
- Systems/Software Engr I, II, V VII
- Technical Solutions Cons I V
- Technical Solutions Rep III, IV
- Technology Consultant I V
- Test Engineer IV
- VLSI Engineer III
- WFP Program Manager I